

UNITED STATES BANKRUPTCY COURT  
WESTERN DISTRICT OF TEXAS  
AUSTIN DIVISION

In re: §  
§  
KLD ENERGY TECHNOLOGIES, INC. § Case No. 16-10345  
§  
Debtor §

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**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY  
ADMINISTERED AND APPLICATION TO BE DISCHARGED (TDR)**

RANDOLPH N. OSHEROW, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: 54,677,279.00 (Without deducting any secured claims)	Assets Exempt: NA
Total Distributions to Claimants: 56,667.00	Claims Discharged Without Payment: NA
Total Expenses of Administration: 2,351,237.67	

3) Total gross receipts of \$ 2,407,904.67 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$ 0.00 (see **Exhibit 2**), yielded net receipts of \$ 2,407,904.67 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$ NA	\$ 6,505,575.50	\$ 56,667.00	\$ 56,667.00
PRIORITY CLAIMS: CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	NA	1,308,765.21	1,308,765.21	1,308,765.21
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	NA	4,764,600.92	3,447,215.67	1,042,472.46
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	NA	141,838.84	141,838.84	0.00
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	NA	21,747,708.65	16,631,687.13	0.00
<b>TOTAL DISBURSEMENTS</b>	\$ NA	\$ 34,468,489.12	\$ 21,586,173.85	\$ 2,407,904.67

4) This case was originally filed under chapter 11 on 03/25/2016 , and it was converted to chapter 7 on 04/10/2018 . The case was pending for 23 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 03/05/2020 By: /s/RANDOLPH N. OSHEROW  
Trustee

**STATEMENT:** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 – GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
Frost Bank checking ending in 7931	1129-000	127,752.49
Frost Bank deposit ending in 7239	1129-000	384.00
Chase Bank deposit ending in 0795	1129-000	6,518.18
KLD Energy Nano-Grid Systems	1129-000	10,000.00
COMPROMISE AND SETTLEMENT GREEN RAY TECH	1249-000	6,500.00
COMPROMISE AND SETTLEMENT GCS TECHNOLOGIES	1249-000	3,500.00
COMPROMISE AND SETTLEMENT	1249-000	3,250.00
Claims against former directors and officers etc	1249-000	2,250,000.00
<b>TOTAL GROSS RECEIPTS</b>		<b>\$ 2,407,904.67</b>

<sup>1</sup>The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

**EXHIBIT 2 – FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
NA		NA	NA
<b>TOTAL FUNDS PAID TO DEBTOR &amp; THIRD PARTIES</b>			<b>\$ 0.00</b>

**EXHIBIT 3 – SECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
000191	FIRST KINGDOM INVESTMENT GROUP, L.P	4210-000	NA	30,000.00	0.00	0.00
	GREEN RAY TECHNOLOGIES, INC.	4210-000	NA	16,667.00	16,667.00	16,667.00
000090	JAMES R. STEELE	4210-000	NA	2,142,260.00	0.00	0.00
000195A	JOHN CONSTANTINE	4210-000	NA	576,497.95	0.00	0.00
000176	KLD ENERGY TECHNOLOGIES, INC.	4210-000	NA	610,000.00	0.00	0.00
000177	KLD ENERGY TECHNOLOGIES, INC.	4210-000	NA	50,000.00	0.00	0.00
000178	KLD ENERGY TECHNOLOGIES, INC.	4210-000	NA	50,000.00	0.00	0.00
	KLN MOTORS, LLC	4210-000	NA	40,000.00	40,000.00	40,000.00
000066	LAURIE H BURKE	4210-000	NA	67,900.00	0.00	0.00
000065	LAURIE H BURKE REVOCABLE TRUST DATE	4210-000	NA	27,564.00	0.00	0.00
000193A	MARK E. ADAMS	4210-000	NA	1,789,753.44	0.00	0.00
000094	MICHAEL L. DAVIS	4210-000	NA	73,280.82	0.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
000095	MICHAEL L. DAVIS	4210-000	NA	103,406.25	0.00	0.00
000096	MICHAEL L. DAVIS	4210-000	NA	63,444.44	0.00	0.00
000097	MICHAEL L. DAVIS	4210-000	NA	26,658.33	0.00	0.00
000091	MLDKLD INVESTMENTS, LLC	4210-000	NA	14,638.89	0.00	0.00
000140A	PAUL BALMUTH	4210-000	NA	39,423.00	0.00	0.00
000092	RETTUNG CAPITAL PARTNERS, INC.	4210-000	NA	29,164.38	0.00	0.00
000093	STEELE ENTERPRISES	4210-000	NA	649,917.00	0.00	0.00
000124	STEPHEN W. REESING	4210-000	NA	105,000.00	0.00	0.00
<b>TOTAL SECURED CLAIMS</b>			<b>\$ NA</b>	<b>\$ 6,505,575.50</b>	<b>\$ 56,667.00</b>	<b>\$ 56,667.00</b>

**EXHIBIT 4 – CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
TRUSTEE COMPENSATION: RANDOLPH N. OSHEROW	2100-000	NA	95,487.14	95,487.14	95,487.14
TRUSTEE EXPENSES: RANDOLPH N. OSHEROW	2200-000	NA	6,400.59	6,400.59	6,400.59

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
INTERNATIONAL SURETIES, LTD.	2300-000	NA	30.50	30.50	30.50
BOK FINANCIAL	2600-000	NA	1,587.83	1,587.83	1,587.83
ASAP MOVING CO.	2690-000	NA	370.00	370.00	370.00
DIGITAL DISCOVERY	2690-000	NA	14,558.35	14,558.35	14,558.35
UNITED STATES TRUSTEE	2950-000	NA	2,600.00	2,600.00	2,600.00
ADAMS DIP FINANCE GROUP, LLC	2990-000	NA	80,000.00	80,000.00	80,000.00
LEXITAS	2990-000	NA	2,087.37	2,087.37	2,087.37
STATE OF CALIFORNIA	2990-000	NA	2,471.65	2,471.65	2,471.65
ATTORNEY FOR TRUSTEE FEES (OTHER FIRM):BARRETT DAFFIN FRAPPIER TURNER	3210-000	NA	198,100.00	198,100.00	198,100.00
ATTORNEY FOR TRUSTEE FEES (OTHER FIRM):REID COLLINS & TSAI, LLP	3210-600	NA	900,000.00	900,000.00	900,000.00
ATTORNEY FOR TRUSTEE EXPENSES (OTHER FIRM):REID COLLINS & TSAI, LLP	3220-610	NA	3,071.78	3,071.78	3,071.78
ACCOUNTANT FOR TRUSTEE FEES (OTHER FIRM):JANET RAKOWITZ	3410-000	NA	2,000.00	2,000.00	2,000.00

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES		\$ NA	\$ 1,308,765.21	\$ 1,308,765.21	\$ 1,308,765.21

**EXHIBIT 5 – PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
HUSCH BLACKWELL	6210-000	NA	494,925.05	0.00	0.00
HUSCH BLACKWELL LLP	6210-160	NA	767,049.82	0.00	0.00
HUSCH BLACKWELL, LLP	6210-160	NA	494,925.05	494,925.05	494,925.05
HUSCH BLACKWELL LLP	6220-170	NA	55,410.38	0.00	0.00
ADAMS DIP GROUP FINANCING, LLC	6910-000	NA	2,924,608.29	2,924,608.29	519,865.08
FRATELLI INVESTMENTS LLC	6910-000	NA	27,682.33	27,682.33	27,682.33
TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES		\$ NA	\$ 4,764,600.92	\$ 3,447,215.67	\$ 1,042,472.46

**EXHIBIT 6 – PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000072A	BRIAN DONOHOE	5300-000	NA	12,850.00	12,850.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000021	DANIEL VALLE	5300-000	NA	1,711.72	1,711.72	0.00
000069	DAVID COLLIER	5300-000	NA	7,225.96	7,225.96	0.00
000025	DAVID PARKER	5300-000	NA	11,071.00	11,071.00	0.00
000089A	MARK LYNN WABSCHALL	5300-000	NA	12,850.00	12,850.00	0.00
000077	MARTIN COLOMBO	5300-000	NA	3,280.95	3,280.95	0.00
000012A	MICHAEL PATRICK GAGLIARDI	5300-000	NA	12,850.00	12,850.00	0.00
000001A	STACY ZOERN GOAD	5300-000	NA	12,475.00	12,475.00	0.00
000100B	DALE MCPHERSON / BROOKS HOLLOW	5600-000	NA	2,850.00	2,850.00	0.00
000121	DEPT OF TAX & COLLECTION	5800-000	NA	5,460.67	5,460.67	0.00
000015B	FRANCHISE TAX BOARD	5800-000	NA	22.98	22.98	0.00
000099	TRAVIS COUNTY	5800-000	NA	59,190.56	59,190.56	0.00
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			<b>\$ NA</b>	<b>\$ 141,838.84</b>	<b>\$ 141,838.84</b>	<b>\$ 0.00</b>

**EXHIBIT 7 – GENERAL UNSECURED CLAIMS**



CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000127	16305B VINEYARD BLVD.	7100-000	NA	19,626.00	19,626.00	0.00
000009	A&J MACHINING	7100-000	NA	19,626.00	19,626.00	0.00
000171	ALEJANDRO PRUITT	7100-000	NA	166,166.00	166,166.00	0.00
000163	ALLIED ELECTRONICS INC	7100-000	NA	1,332.30	1,332.30	0.00
000123	AMERICAN ALTERNATIVE INSURANCE CORP	7100-000	NA	14,866.26	14,866.26	0.00
000144	ANTHONY M. MASARYK	7100-000	NA	0.00	0.00	0.00
000067	AT&T CORP	7100-000	NA	1,745.21	1,745.21	0.00
000179	AUSTIN 9 INVESMENTS, LLC (TEXAS)	7100-000	NA	350,000.00	350,000.00	0.00
000044	AUSTIN KIDNEY ASSOCIATES 401(K) PS	7100-000	NA	231,260.42	231,260.42	0.00
000150	AUSTIN KIDNEY ASSOCIATES 401(K) PS	7100-000	NA	45,000.00	45,000.00	0.00
000113	BALA SUNDARARAJAN	7100-000	NA	12,874.90	12,874.90	0.00
000054	BENEFIT SYSTEMS, INC.	7100-000	NA	635.00	635.00	0.00
000058	BENJAMIN J. WILLIAMS	7100-000	NA	311,257.63	311,257.63	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000142	BERNARD PENNEY	7100-000	NA	300,000.00	300,000.00	0.00
000068	BLUE RIVER GLOBAL, LLC	7100-000	NA	6,542.59	6,542.59	0.00
000018	BRIAN DONOHOE	7100-000	NA	137,719.00	0.00	0.00
000072B	BRIAN DONOHOE	7100-000	NA	133,910.00	121,060.00	0.00
000098	CAROL BARRY	7100-000	NA	438,000.00	0.00	0.00
000119	CEVA LOGISTICS	7100-000	NA	553.21	553.21	0.00
000085	CHRISTIAN OKONSKY	7100-000	NA	159,360.00	0.00	0.00
000120	CINTAS CORPORATION NO. 2, LOCATION	7100-000	NA	17,121.60	17,121.60	0.00
000024	CIT FINANCE, LLC	7100-000	NA	43,966.19	43,966.19	0.00
000114	CITY OF AUSTIN	7100-000	NA	8,953.40	8,953.40	0.00
000043	COMMERCE BANK	7100-000	NA	797.92	797.92	0.00
000115	CORPORATE CREATIONS INTERNATIONAL I	7100-000	NA	377.00	377.00	0.00
000111	CORPORATE UNICORN LIMITED	7100-000	NA	11,160.50	11,160.50	0.00
000010	CRAWFORD ELECTRIC SUPPLY COMPANY	7100-000	NA	5,835.63	5,835.63	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000100A	DALE MCPHERSON / BROOKS HOLLOW	7100-000	NA	117,500.00	114,650.00	0.00
000059	DAVID BAKER	7100-000	NA	6,743.46	6,743.46	0.00
000182	DAVID MURRAY	7100-000	NA	0.00	0.00	0.00
000008	DELL FINANCIAL SERVICES L.L.C.	7100-000	NA	112,660.62	110,660.62	0.00
000011	DELL FINANCIAL SERVICES, LLC	7100-000	NA	52,255.81	52,255.81	0.00
000169	DONNA DEAN CARTER AND MICHAEL GAGAR	7100-000	NA	100,000.00	100,000.00	0.00
000148	DOUGLAS L. HILLARY	7100-000	NA	25,000.00	25,000.00	0.00
000102	DRISDALE SANDLIN PLLC	7100-000	NA	168,668.50	168,668.50	0.00
000189	DRISDALE SANDLIN, PLLC (NKA SANDLIN	7100-000	NA	168,668.50	168,668.50	0.00
000146	DUBOIS, BRYANT & CAMPBELL, LLP	7100-000	NA	118,342.88	118,342.88	0.00
000070	EATON CORPORATION	7100-000	NA	5,412.67	5,412.67	0.00
000082	EDWIN D CABLE FAMILY LP	7100-000	NA	102,050.00	102,050.00	0.00
000079	ELECTRIC DRIVE BVBA	7100-000	NA	17,597.00	17,597.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000139	ELI PRINTZ	7100-000	NA	30,000.00	30,000.00	0.00
000057	ENVERRA CAPITAL LLC	7100-000	NA	10,930.05	10,930.05	0.00
000038	FEDEX TECHCONNECT, INC.	7100-000	NA	7,981.20	7,981.20	0.00
000006	FINANCIAL VALUATION SERVICES LC	7100-000	NA	10,065.00	10,065.00	0.00
000027	FISH & RICHARDSON PC	7100-000	NA	178,209.41	178,209.41	0.00
000015A	FRANCHISE TAX BOARD	7100-000	NA	44.53	44.53	0.00
000186	FRATELLI INVESTMENTS, LLC	7100-000	NA	103,319.00	103,319.00	0.00
000105	FROST BANK	7100-000	NA	976,900.83	976,900.83	0.00
000135	FULLER PRODUCTION INC.	7100-000	NA	250,000.00	250,000.00	0.00
000145	GERALD S. LINDENMUTH TRUSTEE	7100-000	NA	0.00	0.00	0.00
000158	GMASS INVESTMENTS, LP (JERRY SUTHER	7100-000	NA	111,022.00	111,022.00	0.00
000083	GUNNERCOOKE LLP	7100-000	NA	19,500.00	19,500.00	0.00
000152	H. LAWRENCE AMBERG	7100-000	NA	155,000.00	155,000.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000153	HAROLD L. SHULTS JR.	7100-000	NA	10,000.00	10,000.00	0.00
000164	HILL COUNTRY ENGINEERING, PLLC	7100-000	NA	1,238.00	1,238.00	0.00
000160	IRA SERVICES TRUST COMPANY CFBO MAR	7100-000	NA	170,000.00	170,000.00	0.00
000192	IRA SERVICES TRUST COMPANY CFBO MIC	7100-000	NA	75,000.00	75,000.00	0.00
000109	J. CHARLES FARMER	7100-000	NA	156,250.00	156,250.00	0.00
000187	JACK WESLEY WALLIS	7100-000	NA	540,342.00	540,342.00	0.00
000162	JAMES O SCHNELL	7100-000	NA	182,223.00	182,223.00	0.00
000133	JAMES R. HOGAN AND DOWLA HOGAN	7100-000	NA	25,000.00	25,000.00	0.00
000143	JARED SLOSBERG	7100-000	NA	0.00	0.00	0.00
000075	JASON HELFAND	7100-000	NA	10,837.00	10,837.00	0.00
000104	JEFF GRECO	7100-000	NA	214,808.00	214,808.00	0.00
000022	JEREMY GREEN	7100-000	NA	1,462.00	1,462.00	0.00
000118	JIM PETE HALE	7100-000	NA	575,555.52	575,555.52	0.00
000170	JOEL DE LA GARZA	7100-000	NA	94,500.00	94,500.00	0.00
000195B	JOHN CONSTANTINE	7100-000	NA	125,817.33	0.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000174	JOHN FARRO	7100-000	NA	33,750.00	33,750.00	0.00
000197	JOHN FINDLEY	7100-000	NA	618,620.77	618,620.77	0.00
000156	JOHN SCOTT & KARON SCHRANK SIMPSON	7100-000	NA	175,000.00	25,000.00	0.00
000141	JOHN WILLIAM MCPHAUL	7100-000	NA	250,000.00	250,000.00	0.00
000081	JORDAN HOGAN	7100-000	NA	6,850.24	6,850.24	0.00
000125	JOSEPH SCHNEIDER	7100-000	NA	25,000.00	25,000.00	0.00
000056	KASPAR MANUFACTURING	7100-000	NA	2,227.43	2,227.43	0.00
000168	KENNETH FIELDING MOREHEAD	7100-000	NA	425,000.00	425,000.00	0.00
000167	KEVIN MCMAHON	7100-000	NA	20,000.00	20,000.00	0.00
000137	KIRK RODEN AND LINDA RODEN	7100-000	NA	248,000.00	248,000.00	0.00
000175	KLD ENERGY TECHNOLOGIES, INC.	7100-000	NA	1,486.93	1,486.93	0.00
000013	KLN MOTORS LLC	7100-000	NA	2,575,030.18	3,444,941.04	0.00
000028	KLN MOTORS LLC	7100-000	NA	195,361.46	0.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000029	KLN MOTORS LLC	7100-000	NA	2,488.84	0.00	0.00
000031	KLN MOTORS LLC	7100-000	NA	76,801.82	0.00	0.00
000032	KLN MOTORS LLC	7100-000	NA	63,330.15	0.00	0.00
000034	KLN MOTORS LLC	7100-000	NA	98,467.35	0.00	0.00
000035	KLN MOTORS LLC	7100-000	NA	98,467.35	0.00	0.00
000036	KLN MOTORS LLC	7100-000	NA	397,215.80	0.00	0.00
000037	KLN MOTORS LLC	7100-000	NA	493,759.08	0.00	0.00
000039	KLN MOTORS LLC	7100-000	NA	319,432.70	0.00	0.00
000040	KLN MOTORS LLC	7100-000	NA	1,398.38	0.00	0.00
000041	KLN MOTORS LLC	7100-000	NA	2,264.73	0.00	0.00
000042	KLN MOTORS LLC	7100-000	NA	210,576.03	0.00	0.00
000047	KLN MOTORS LLC	7100-000	NA	467,516.66	0.00	0.00
000049	KLN MOTORS LLC	7100-000	NA	63,980.36	0.00	0.00
000051	KLN MOTORS LLC	7100-000	NA	7,660.53	0.00	0.00
000055	KLN MOTORS LLC	7100-000	NA	291,662.23	0.00	0.00
000076	KLN MOTORS LLC	7100-000	NA	137,148.89	0.00	0.00
000101	KLN MOTORS LLC	7100-000	NA	1,741,378.00	0.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000126	KO CHANG, LP (SCOTT LAWSON)	7100-000	NA	616,367.00	616,367.00	0.00
000023	LEAF CAPITAL FUNDING, LLC	7100-000	NA	3,050.12	3,050.12	0.00
000103	LIBERTY TRUST COMPANY, LTD., CUSTOD	7100-000	NA	182,458.36	182,458.36	0.00
000110	LIMPINBEAR FAMILY PARTNERSHIP, LTD.	7100-000	NA	0.00	0.00	0.00
000180	MANISH K PATEL	7100-000	NA	30,000.00	30,000.00	0.00
000136	MARCIA FULLER FRENCH	7100-000	NA	235,850.00	235,850.00	0.00
000193B	MARK E ADAMS	7100-000	NA	60,764.00	0.00	0.00
000089B	MARK WABSCHALL	7100-000	NA	83,990.63	83,990.63	0.00
000134	MARY EVELYN DEMENT REVOCABLE TRUST	7100-000	NA	50,000.00	50,000.00	0.00
000188	MARY SUSAN WALLIS TRUST 2003	7100-000	NA	200,000.00	200,000.00	0.00
000046	MATTHEW STEPHEN NADER	7100-000	NA	98,787.50	98,787.50	0.00
000004	MCMASTER-CARR SUPPLY CO	7100-000	NA	3,809.80	3,809.80	0.00



CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000020	MEJ & ASSOCIATES	7100-000	NA	16,000.00	16,000.00	0.00
000199	MICHAEL KLONNE	7100-000	NA	35,524.59	35,524.59	0.00
000012B	MICHAEL PATRICK GAGLIARDI	7100-000	NA	64,770.00	64,770.00	0.00
000048	MICHAEL R. MACARI	7100-000	NA	27,498.63	27,498.63	0.00
000017	MILLENNIUM TRUST COMPANY, LLC CUSTO	7100-000	NA	56,892.39	56,892.39	0.00
000157	MK3SD, LTD	7100-000	NA	140,000.00	140,000.00	0.00
000194	MOKUTI HERBS LLC	7100-000	NA	25,000.00	25,000.00	0.00
000030	MORENO ENERGY INC. (FOX BENTON)	7100-000	NA	4,977.69	4,977.69	0.00
000061	NAHA HOLDINGS	7100-000	NA	278,125.00	278,125.00	0.00
000007	NEC FINANCIAL SERVICES	7100-000	NA	2,894.85	2,894.85	0.00
000129	NED SNYDER IV	7100-000	NA	75,000.00	0.00	0.00
000161	NED SNYDER IV	7100-000	NA	76,556.00	76,556.00	0.00
000014	OFFICE TEAM	7100-000	NA	12,885.12	12,885.12	0.00
000087	P3 NORTH AMERICA, INC.	7100-000	NA	190,806.80	190,806.80	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000053	PACIFIC GAS & ELECTRIC COMPANY	7100-000	NA	3,788.58	0.00	0.00
000138	PACIFIC GAS & ELECTRIC COMPANY	7100-000	NA	3,788.38	3,788.38	0.00
000140B	PAUL BALMUTH	7100-000	NA	24,750.00	24,750.00	0.00
000045	PAUL NADER	7100-000	NA	148,315.89	148,315.89	0.00
000108	PEOPLE FUND, INC.	7100-000	NA	37,623.11	0.00	0.00
000149	PEOPLE FUND, INC.	7100-000	NA	37,794.54	37,794.54	0.00
000112	PINNACLE CENTRAL AUSTIN INVESTMENTS	7100-000	NA	12,000.00	12,000.00	0.00
000147	PIRKEY BARBER PLLC	7100-000	NA	752.00	752.00	0.00
000078	PROFESSIONAL TESTING (EMI), INC	7100-000	NA	1,160.00	1,160.00	0.00
000019	R. RADDIL/MWR LEGAL	7100-000	NA	1,486.93	1,486.93	0.00
000107	RAM FAMILY INVESTMENTS, LP	7100-000	NA	117,138.88	117,138.88	0.00
000003	RANDSTAD NORTH AMERICA, INC	7100-000	NA	4,915.70	4,915.70	0.00
000155	RAS REDWINE	7100-000	NA	42,500.00	0.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000130	REBECCA LYN SHELTON AND RAYMOND H.	7100-000	NA	25,000.00	25,000.00	0.00
000060	RECOLOGY SOUTH VALLEY	7100-000	NA	1,245.08	1,245.08	0.00
000128	RECOLOGY SOUTH VALLEY	7100-000	NA	829.70	829.70	0.00
000181	RICHARD C GRIDLEY	7100-000	NA	10,595.00	10,595.00	0.00
000086	RICHARD J. FITTS	7100-000	NA	32,500.00	32,500.00	0.00
000071	RICHARD L. HUNTER, JR.	7100-000	NA	68,750.00	0.00	0.00
000073	RICHARD L. HUNTER, JR.	7100-000	NA	20,000.00	20,000.00	0.00
000185	ROBERT JOSEYT2L INVESTMENTS	7100-000	NA	100,000.00	100,000.00	0.00
000063	ROBERT O'BRIEN	7100-000	NA	20,000.00	20,000.00	0.00
000088	ROBYN LOWE	7100-000	NA	22,500.00	22,500.00	0.00
000074	ROD MACDONALD	7100-000	NA	15,000.00	15,000.00	0.00
000131	ROTH STAFFING COMPANIES, L.P.	7100-000	NA	2,091.95	2,091.95	0.00
000116	SANDRO GRESPAN- RED ITALIA HOLDING	7100-000	NA	96,283.00	96,283.00	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000165	SEDONA PARTNERS I, LP	7100-000	NA	50,000.00	50,000.00	0.00
000122	SHRED-IT USA LLC	7100-000	NA	59.30	59.30	0.00
000166	SPARTAN AVENUE INVESTMENTS SERIES,	7100-000	NA	80,000.00	80,000.00	0.00
000001B	STACY ZOERN GOAD	7100-000	NA	333,892.48	333,892.48	0.00
000050	STEPHEN W. REESING	7100-000	NA	18,207.67	18,207.67	0.00
000016	STEVE TURRO	7100-000	NA	102,907.00	102,907.00	0.00
000132	STEVEN M. ABBATE	7100-000	NA	105,000.00	105,000.00	0.00
000052	STRATUS BUILDING SOLUTIONS, INC.	7100-000	NA	16,075.13	16,075.13	0.00
000080	SURVIVAL SYSTEMS, INC.	7100-000	NA	52,401.53	52,401.53	0.00
000117	SUSAN CHRISTMAS	7100-000	NA	25,000.00	25,000.00	0.00
000173	TAHIR MIAN	7100-000	NA	74,225.30	74,225.30	0.00
000106	TAYLOR DUNHAM AND RODRIGUEZ LLP	7100-000	NA	5,501.52	5,501.52	0.00
000184	TEJAS RISK, LLC	7100-000	NA	150,000.00	150,000.00	0.00
000198	THE MICHAEL A. KLONNE LIVING TRUST	7100-000	NA	138,169.40	138,169.40	0.00

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000172	THE SHARON SEIDL HATCH MARITAL TRUS	7100-000	NA	11,304.11	11,304.11	0.00
000002	TIMM TONDORF	7100-000	NA	34,000.00	34,000.00	0.00
000196	TOM ADAMS	7100-000	NA	240,759.56	240,759.56	0.00
000026	TRICOR SERVICES LIMITED	7100-000	NA	3,592.00	3,592.00	0.00
000084	VENTURE CONNECTIONS / G. DEVLIN (3	7100-000	NA	142,796.00	142,796.00	0.00
000064	VERITY NATIONAL GROUP, INC.	7100-000	NA	25,500.00	25,500.00	0.00
000005	W W GRAINGER, INC.	7100-000	NA	982.67	982.67	0.00
000154	WILLIAM J. MADDUX	7100-000	NA	75,000.00	75,000.00	0.00
000151	YNAUX HOLDINGS LTD (PETER MILLER)	7100-000	NA	50,000.00	50,000.00	0.00
000033	ZONE24X7, INC.	7100-000	NA	45,050.78	45,050.78	0.00
000200	ATINDERPAL PANESAR	7200-000	NA	25,000.00	25,000.00	0.00
000062	KEVIN STOLLE	7400-000	NA	0.00	0.00	0.00
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$ NA</b>	<b>\$ 21,747,708.65</b>	<b>\$ 16,631,687.13</b>	<b>\$ 0.00</b>

FOR 27

**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT**  
**ASSET CASES**

Page: 1  
Exhibit 8

Case No: 16-10345 HCM Judge: H. Christopher Mott-Austin  
Case Name: KLD ENERGY TECHNOLOGIES, INC.

Trustee Name: RANDOLPH N. OSHEROW  
Date Filed (f) or Converted (c): 04/10/18 (c)  
341(a) Meeting Date: 06/01/18  
Claims Bar Date: 10/25/18

For Period Ending: 03/05/20

1	2	3	4	5	6	7	8
Asset Description (Scheduled and Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined by Trustee, Less Liens, Exemptions, and Other Costs)	Property Formally Abandoned OA=554(a)	Sale/Funds Received by the Estate	Asset Fully Adm (FA)/ Gross Value of Remain Assets	Lien Amount	Exempt Amount
1. Frost Bank checking ending in 7931	53,127.00	53,127.00		127,752.49	FA	0.00	0.00
2. Frost Bank payroll checking ending 3222	0.00	0.00		0.00	FA	0.00	0.00
3. Frost Bank deposit ending in 7239	384.00	384.00		384.00	FA	0.00	0.00
4. Chase Bank deposit ending in 0795	6,708.00	6,708.00		6,518.18	FA	0.00	0.00
5. Austin GAAP, Inc. Deposit	32,581.00	0.00		0.00	FA	0.00	0.00
6. Morgan Hill Vannal Properties Deposit	20,000.00	0.00		0.00	FA	0.00	0.00
7. Other deposit	8,416.00	0.00		0.00	FA	0.00	0.00
8. USI Insurance-prepaid ins	49,681.00	0.00		0.00	FA	0.00	0.00
9. USI Insurance-Prepaid Miscellaneous Expenses	15,974.00	0.00		0.00	FA	0.00	0.00
10. Accts receivable	33,683.00	0.00		0.00	FA	0.00	0.00
11. KLD Energy Nano-Grid Systems	8,000.00	8,000.00		10,000.00	FA	0.00	0.00
12. Raw materials	706,854.00	0.00		0.00	FA	0.00	0.00
13. Work in progress	33,000.00	0.00		0.00	FA	0.00	0.00
14. Finished goods, including goods held for resale	218,000.00	0.00		0.00	FA	0.00	0.00
15. Other inventory and supplies	111,000.00	0.00		0.00	FA	0.00	0.00
16. Office furniture	15,115.00	0.00		0.00	FA	0.00	0.00
17. Office equipment, etc.	70,129.00	0.00		0.00	FA	0.00	0.00
18. Ford Van California location	821.00	0.00		0.00	FA	0.00	0.00
19. Other machinery, fixtures, etc.	68,226.00	0.00		0.00	FA	0.00	0.00
20. KLD Intellectual Property	25,773,000.00	0.00		0.00	FA	0.00	0.00
21. Kenguru Acquisition (6/29/2915)	8,067,048.00	0.00		0.00	FA	0.00	0.00
22. Note from Nick Anderson for past legal settlement	14,600.00	0.00		0.00	FA	0.00	0.00
23. Tax refund, NOLS	19,439,151.00	0.00		0.00	FA	0.00	0.00
24. COMPROMISE AND SETTLEMENT GREEN RAY TECH (u)	0.00	6,500.00		6,500.00	FA	0.00	0.00
25. COMPROMISE AND SETTLEMENT GCS TECHNOLOGIES (u)	0.00	3,500.00		3,500.00	FA	0.00	0.00
26. COMPROMISE AND SETTLEMENT (u)	0.00	3,250.00		3,250.00	FA	0.00	0.00
27. Claims against former directors and officers etc (u)	0.00	2,250,000.00		2,250,000.00	FA	0.00	0.00

TOTALS (Excluding Unknown Values)	\$54,745,498.00	\$2,331,469.00		\$2,407,904.67	\$0.00	\$0.00	\$0.00
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Major activities affecting case closing which are not reflected above, and matters pending, date of hearing or sale, and other action:

lawsuit settled for 2.25M January 28, 2019, 11:58 am

Only asset state court lawsuit filed by special counsel adam swick; value unknown; December 20,  
2018, 04:42 pm

FORM 101-7

**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT  
ASSET CASES**Page: 2  
Exhibit 8

Case No: 16-10345 HCM Judge: H. Christopher Mott-Austin  
Case Name: KLD ENERGY TECHNOLOGIES, INC.

Trustee Name: RANDOLPH N. OSHEROW  
Date Filed (f) or Converted (c): 04/10/18 (c)  
341(a) Meeting Date: 06/01/18  
Claims Bar Date: 10/25/18

Pat Lowe filed claims deadline for 7/10/18. Clerk filed amended notice and do not file claims. RNO  
filed a new claims deadline as per clerk's instructions of today 6/27/18. New Deadline is Oct 25,  
2018.

RE PROP# 7---there is a typo of 4,8416.00 on the value amt

RE PROP# 26---COMPROMISE OF CONTROVERSIES WITH HEMANT VANKAWALA AND KETAKI VANKAWALA.

RE PROP# 27---Contingent fee lawsuit; hired Reid, Collins & Tsai, LLP as special counsel ;, the  
current value of this litigation is 2.25M subject to court approval of mediated settlement

Initial Projected Date of Final Report (TFR): 12/31/20

Current Projected Date of Final Report (TFR): 12/31/20

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Page: 1

## ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Exhibit 9

Case No: 16-10345 -HCM  
Case Name: KLD ENERGY TECHNOLOGIES, INC.

Trustee Name: RANDOLPH N. OSHEROW  
Bank Name: BOK FINANCIAL  
Account Number / CD #: 1150503664 Checking Account (Non-Interest Earn)

Taxpayer ID No: 26-2561236  
For Period Ending: 03/05/20

Blanket Bond (per case limit): \$ 0.00  
Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description Of Transaction	Uniform Trans. Code	Deposits (\$)	Disbursements (\$)	Account / CD Balance (\$)
			BALANCE FORWARD				0.00
C 04/24/18		KLD TECHNOLOGIES FROST BANK ACCT.	FROST BANK ACCOUNT	1129-000	128,136.49		128,136.49
04/24/18	1	Asset Sales Memo:	Frost Bank checking ending in 7931 \$127,752.49				128,136.49
04/24/18	3	Asset Sales Memo:	Frost Bank deposit ending in 7239 \$384.00				128,136.49
C 04/25/18	000101	Green Ray Technologies, Inc.	PAYMENT PER ORDER #667, 04/25/18	4210-000		16,667.00	111,469.49
C 04/30/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		29.79	111,439.70
C 05/31/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		165.63	111,274.07
C 06/05/18	000102	LEXITAS P. O. BOX 4227 HOUSTON, TX 77210-4227	JOB NO. 362184 CASE NO. 16-10345 INVOICE NO. 487884 INVOICE DATE 5/29/2018	2990-000		520.00	110,754.07
C 06/25/18	000103	ASAP MOVING CO. 3601 W. PARMER LN., SUITE 107 AUSTIN, TX 78727	PAYMENT PER DOC. 723, 6/21/18	2690-000		370.00	110,384.07
C 06/29/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		159.60	110,224.47
C 07/31/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		164.00	110,060.47
C 08/03/18	000104	LEXITAS P. O. BOX 4227 HOUSTON, TX 77210-4227	JOB NO. 36172 CASE NO. 16-10345-HCM INVOICE NO. 487949 TOTAL DUE: \$1,567.37	2990-000		1,567.37	108,493.10
C 08/07/18		KLD ENERGY NANO-GRID	SALE OF COMMON STOCK HOLDINGS	1129-000	10,000.00		118,493.10
08/07/18	11	Asset Sales Memo:	KLD Energy Nano-Grid Systems \$10,000.00				118,493.10
C 08/07/18		KLD CASHIER'S CHECK	FUNDS FROM KLD CASH	1129-000	6,518.18		125,011.28
08/07/18	4	Asset Sales Memo:	Chase Bank deposit ending in 0795 \$6,518.18				125,011.28
C 08/31/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		178.70	124,832.58
C 09/12/18	000105	KLN Motors, LLC	AMENDED ORDER GRANTING TRUSTEE'S MOTION TO APPROVE COMPROMISE OF CONTROVERSIES WITH KLN MOTORS LLC AND MYWAY GROUP CO., LTD. , DOC. 776, 09/15/18.	4210-000		40,000.00	84,832.58
C 09/28/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		152.70	84,679.88
C 10/04/18	000106	INTERNATIONAL SURETIES, LTD. 701 POYDRAS STREET, SUITE 420 NEW ORLEANS, LA 70139	Bond # 016071777 Term: 10/01/18 to 10/01/19	2300-000		30.50	84,649.38
C 10/19/18		GREEN RAY TECHNOLOGIES, LLC	COMPROMISE AND SETTLEMENT	1249-000	6,500.00		91,149.38
10/19/18	24	Asset Sales Memo:	COMPROMISE AND SETTLEMENT GREEN RAY TECH \$6,500.00				91,149.38
C 10/31/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		128.28	91,021.10
C 11/08/18		GSC TECHNOLOGIES	COMPROMISE AND SETTLEMENT	1249-000	3,500.00		94,521.10
11/08/18	25	Asset Sales Memo:	COMPROMISE AND SETTLEMENT GCS TECHNOLOGIES \$3,500.00				94,521.10
C 11/26/18		HEMANT H VANKAWALA KETAKI VANKAWALA	COMPROMISE AND SETTLEMENT	1249-000	3,250.00		97,771.10
11/26/18	26	Asset Sales Memo:	COMPROMISE AND SETTLEMENT \$3,250.00				97,771.10
C 11/30/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		134.95	97,636.15
C 12/31/18		BOK FINANCIAL	BANK SERVICE FEE	2600-000		145.11	97,491.04



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## ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Exhibit 9

Case No: 16-10345 -HCM

Case Name: KLD ENERGY TECHNOLOGIES, INC.

Trustee Name: RANDOLPH N. OSHEROW

Bank Name: BOK FINANCIAL

Account Number / CD #: 1150503664 Checking Account (Non-Interest Earn

Taxpayer ID No: 26-2561236

For Period Ending: 03/05/20

Blanket Bond (per case limit): \$ 0.00

Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description Of Transaction	Uniform Trans. Code	Deposits (\$)	Disbursements (\$)	Account / CD Balance (\$)
C 01/02/19	000107	DIGITAL DISCOVERY 8131 LBJ FREEWAY, SUITE 325 DALLAS, TX 75251	Invoice #3716 Project #: 20180914-PRK	2690-000		10,301.42	87,189.62
C 01/31/19		BOK FINANCIAL	BANK SERVICE FEE	2600-000		133.54	87,056.08
C 02/18/19	000108	DIGITAL DISCOVERY 8131 LBJ FREEWAY, STE. 325 DALLAS, TX 75251	INVOICE #3740 INVOICE DATE: 11/30/2018	2690-000		4,256.93	82,799.15
C 02/28/19		BOK FINANCIAL	BANK SERVICE FEE	2600-000		116.25	82,682.90
C 03/20/19		BOK FINANCIAL	BANK SERVICE FEE	2600-000		79.28	82,603.62
Ct 03/22/19		Trsf To Axos Bank	FINAL TRANSFER	9999-000		82,603.62	0.00

\* Reversed

t Funds Transfer

C Bank Cleared

Account	Balance Forward	0.00		
1150503664	13 Deposits	157,904.67	8 Checks	73,713.22
	0 Interest Postings	0.00	12 Adjustments Out	1,587.83
			1 Transfers Out	82,603.62
	Subtotal	\$ 157,904.67	Total	\$ 157,904.67
	0 Adjustments In	0.00		
	0 Transfers In	0.00		
	Total	\$ 157,904.67		

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## ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Exhibit 9

Case No: 16-10345 -HCM

Case Name: KLD ENERGY TECHNOLOGIES, INC.

Trustee Name: RANDOLPH N. OSHEROW

Bank Name: Axos Bank

Account Number / CD #: 7632100000044 Checking Account

Taxpayer ID No: 26-2561236

For Period Ending: 03/05/20

Blanket Bond (per case limit): \$ 0.00

Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description Of Transaction	Uniform Trans. Code	Deposits (\$)	Disbursements (\$)	Account / CD Balance (\$)
			BALANCE FORWARD				0.00
Ct 03/22/19		Trsf In From BOK FINANCIAL	FINAL TRANSFER	9999-000	82,603.62		82,603.62
C 04/03/19		NATIONWIDE	COMPROMISE AND SETTLEMENT	1249-000	2,250,000.00		2,332,603.62
04/03/19	27	Asset Sales Memo:	Claims against former directors and officers etc \$2,250,000.00				2,332,603.62
C 04/11/19	002001	REID COLLINS & TSAI, LLP 1301 S. CAPITAL OF TEXAS HWY. BUILDING C, SUITE 300 AUSTIN, TX 78746	SPECIAL COUNSEL FEES: \$900,000.00 PAYMENT PER DOC. #821, 3/28/19	3210-600		900,000.00	1,432,603.62
C 04/11/19	002002	REID COLLINS & TSAI, LLP 1301 S. CAPITAL OF TEXAS HWY. BUILDING C, SUITE 300 AUSTIN, TX 78746	SPECIAL COUNSEL EXPENSES: \$3,071.78 PAYMENT PER DOC. #821, 03/28/19.	3220-610		3,071.78	1,429,531.84
C 05/01/19	002003	RANDOLPH N. OSHEROW 342 W. WOODLAWN, SUITE 100 SAN ANTONIO, TEXAS 78212-3314	PAYMENT PER DOC. #829, 04/30/19. TRUSTEE COMMISSION: \$50,000.00	2100-000		50,000.00	1,379,531.84
C 06/05/19	002004	United States Trustee 903 San Jacinto Blvd. Room 230 Austin, TX 78701	CHAPTER 11 QUARTERLY FEES	2950-000		2,600.00	1,376,931.84
C 06/05/19	002005	HUSCH BLACKWELL, LLP 111 CONGRESS AVE., SUITE 1400 AUSTIN, TX 78701	PAYMENT PER DOC. #842, 06/03/19	6210-160		494,925.05	882,006.79
C 08/31/19	002006	RANDOLPH N. OSHEROW 342 W. WOODLAWN, SUITE 100 SAN ANTONIO, TEXAS 78212-3314	PAYMENT PER DOC. #869, 08/30/19.	2100-000		19,027.00	862,979.79
C 09/18/19	002007	JANET RAKOWITZ 11024 MACAWAY, #2 ADKINS, TEXAS 78101	DOC. 873, 09/17/19	3410-000		2,000.00	860,979.79
C 10/22/19	002008	BARRETT DAFFIN FRAPPIER TURNER & ENGEL, LLP 3809 JUNIPER TRACE, SUITE 205 AUSTIN, TX 78738	PAYMENT PER DOC. 877, 10/17/19. ORDER GRANTING FINAL FEE APPLICATION OF BARRETT DAFFIN FRAPPIER TURNER & ENGEL, LLP, FOR PAYMENT OF FEES AND EXPENSES. PERIOD OF 4/10/19 THRU 09/18/2019.	3210-000		198,100.00	662,879.79
C 12/18/19	002009	RANDOLPH N. OSHEROW 342 West Woodlawn, Suite 100 San Antonio, TX 78212	Chapter 7 Compensation/Fees	2100-000		26,460.14	636,419.65
C 12/18/19	002010	RANDOLPH N. OSHEROW 342 West Woodlawn, Suite 100 San Antonio, TX 78212	Chapter 7 Expenses	2200-000		6,400.59	630,019.06
C 12/18/19	002011	Adams DIP Finance Group, LLC c/o Joseph Martinec 611 S. Congress Ave., Ste. 450 Austin, TX 78704-1771	Claim 000190B, Payment 100.000000% (190-1) DIP Financing for KLD Energy Technologies, Inc. Chapter 11	2990-000		80,000.00	550,019.06
C 12/18/19	002012	State of California Bankruptcy Section MS A340 Franchise Tax Board PO Box 2952 Sacramento CA 95812-2952	Claim 000201, Payment 100.000000%	2990-000		2,471.65	547,547.41

FORM 27

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## ESTATE CASH RECEIPTS AND DISBURSEMENTS RECORD

Exhibit 9

Case No: 16-10345 -HCM  
Case Name: KLD ENERGY TECHNOLOGIES, INC.

Trustee Name: RANDOLPH N. OSHEROW  
Bank Name: Axos Bank  
Account Number / CD #: 7632100000044 Checking Account

Taxpayer ID No: 26-2561236  
For Period Ending: 03/05/20

Blanket Bond (per case limit): \$ 0.00  
Separate Bond (if applicable):

1	2	3	4		5	6	7
Transaction Date	Check or Reference	Paid To / Received From	Description Of Transaction	Uniform Trans. Code	Deposits (\$)	Disbursements (\$)	Account / CD Balance (\$)
C 12/18/19	002013	Fratelli Investments LLC c/oLaw Offices of Frank B. Lyon Two Far West Plaza, Suite 170 3508 Far West Boulevard Austin, TX 78731	Trade Debt (Chapter 11)	6910-000		27,682.33	519,865.08
C 12/18/19	002014	ADAMS DIP GROUP FINANCING, LLC C/O JOSEPH MARTINEC 611 S CONGRESS AVE. SUITE 450 AUSTIN, TX 78704-1771	Claim 000190A, Payment 17.77555%	6910-000		519,865.08	0.00

\* Reversed  
t Funds Transfer  
C Bank Cleared

Account	Balance Forward	0.00		
7632100000044	2 Deposits	2,250,000.00	14 Checks	2,332,603.62
	0 Interest Postings	0.00	0 Adjustments Out	0.00
			0 Transfers Out	0.00
	Subtotal	\$ 2,250,000.00	Total	\$ 2,332,603.62
	0 Adjustments In	0.00		
	1 Transfers In	82,603.62		
	Total	\$ 2,332,603.62		

Report Totals

	Balance Forward	0.00		
15 Deposits	2,407,904.67	22 Checks	2,406,316.84	
0 Interest Postings	0.00	12 Adjustments Out	1,587.83	
		1 Transfers Out	82,603.62	
	Subtotal	\$ 2,407,904.67	Total	\$ 2,490,508.29
	0 Adjustments In	0.00		
	1 Transfers In	82,603.62		
	Total	\$ 2,490,508.29	Net Total Balance	\$ 0.00